

Notice of Allowability	Application No.	Applicant(s)	
	09/690,212	MAMDANI ET AL.	
	Examiner	Art Unit	
	Kambiz Abdi	3621	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to September 18, 2006.
2. ☒ The allowed claim(s) is/are 1-5, 7-8, 10, 13-34, 38-42, 44-48 and 52 (Renumbered as 1-41).
3. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
 - a) ☐ All b) ☐ Some* c) ☐ None of the:
 1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

* Certified copies not received: _____.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.

THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

4. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
 - (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
 - 1) ☐ hereto or 2) ☐ to Paper No./Mail Date _____.
 - (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date _____.

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

- | | |
|---|--|
| <ol style="list-style-type: none"> 1. <input type="checkbox"/> Notice of References Cited (PTO-892) 2. <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) 3. <input type="checkbox"/> Information Disclosure Statements (PTO/SB/08),
Paper No./Mail Date _____ 4. <input type="checkbox"/> Examiner's Comment Regarding Requirement for Deposit
of Biological Material | <ol style="list-style-type: none"> 5. <input type="checkbox"/> Notice of Informal Patent Application 6. <input type="checkbox"/> Interview Summary (PTO-413),
Paper No./Mail Date _____ 7. <input type="checkbox"/> Examiner's Amendment/Comment 8. <input checked="" type="checkbox"/> Examiner's Statement of Reasons for Allowance 9. <input type="checkbox"/> Other _____ |
|---|--|

**KAMBIZ ABDI
PRIMARY EXAMINER**

Kambiz Abdi
Primary Examiner
Art Unit: 3621

DETAILED ACTION

1. Prior office actions for related applications are incorporated in this office action by reference.

- Claims 1, 34 and 52 have been amended.
- Claims 6, 9, 11-12, 35-37, 43, 49-51, and 53-55 have been canceled.
- Claims 1-5, 7-8, 10, 13-32, 34, 38-42, 44-48 and 52 are allowed

Allowable Subject Matter

2. Claims 1-5, 7-8, 10, 13-34, 38-42, 44-48, and 52 are allowed over the prior art of record.

The following is an examiner's statement of reason for allowance:

3. The closest prior art of record are U.S. Patent Application Publication No. 2002/0004746 to John B. Ferber and U.S. Patent No. 6,496,809 to Brett Nakfoor. As for Ferber discloses a user identification information is determined and an electronic coupon is requested from a processor, based on user information. A profile of user is determined and one electronic coupon is selected based on profile of the user. A redeemable representation of the electronic coupon is displayed on user device. Further Nakfoor discloses provides a method of electronically exchanging tickets for an event in a secondary market from ticket sellers to ticket buyers located at remote terminals. The method comprises associating the tickets with authentication data of the ticket seller, receiving from ticket sellers electronic asks comprising an ask quantity and an ask price, receiving from a ticket buyer an electronic bid comprising a bid quantity and a bid price, comparing the bid to the asks, completing a transfer of the tickets when the bid price equals the ask price and the ask quantity is equal to or greater than the bid quantity, and reassociating the tickets with authentication data of the ticket buyer.

4. Additional prior art of record is U.S. Patent No. 5,991,749 to Paul H. Morril Jr. provides a method and system to transact through a cell phone. Morril teaches a method and system of transferring funds between first party and a second party. First party being a cell phone and second party being a person's financial account. The main difference between the Morril teaching and other systems is the entering of a certain code to conduct the transaction through the wireless service as well as confirming such transaction by providing a confirmation number code at the wireless device to be displayed to the user.

Art Unit: 3621

The transaction verifications are a combination of biometric (Voice recognition) and bar code scanning.

The prior art of record is not directed towards "optically scanning the first transaction code from the visual display". The prior art of record either singularly or in combination with other prior arts in the record does not teach the steps of displaying and scanning of the code provided to the wireless device.

5. Independent claims 1, 34, and 52 contain the steps of "displaying the first transaction code on a visual display of the wireless communication device" and "optically scanning the first transaction code from the visual display". The closes prior art of record when taken either individually or in combination with other prior arts of record fails to teach or suggest the above steps as they have been claimed. It is clear that transaction verification is based on directly scanning of the "barcode" presented by the visual display of a wireless device and confirmation of the validity of the code based on a voice print of the bearer. Consequently, claims 2-5, 7-8, 10, 13-33 are dependent claims to claim 1, and claims 38-42 and 44-48 are dependent claims to claim 34, thus they all have the limitations of claims 1 and 34, therefore, they are allowable for the same reason.

6. As they have been claims in the following steps which are essentially the same for all the independent claims;

"receiving, by the a transaction management system, a transaction request of a transaction requester for a commercial item;

verifying the identity of the transaction requester by authenticating a spoken authentication code including comparing the spoken authentication code to an authentic voice print of an authorized user of the wireless communication device;

communicating, by the transaction apparatus, a first transaction code to the a wireless communication device;

displaying the first transaction code on a visual display of the wireless communication device;

optically scanning, by the transaction fulfillment system, the first transaction code from the visual display;"

Art Unit: 3621

Conclusion

Any inquiry of a general nature or relating to the status of this application or concerning this communication or earlier communications from the examiner should be directed to **Kambiz Abdi** whose telephone number is **(571) 272-6702**. The Examiner can normally be reached on Monday-Friday, 9:30am-5:00pm. If attempts to reach the examiner by telephone are unsuccessful, the Examiner's supervisor, **Andrew Fischer** can be reached at **(571) 272-6779**.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see

<http://portal.uspto.gov/external/portal/pair>

Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Any response to this action should be mailed to:

**Commissioner of Patents and Trademarks
Washington, D.C. 20231**

or faxed to:

(571) 273-8300 [Official communications; including After Final communications labeled "Box AF"]

(571) 273-6702 [Informal/Draft communications, labeled "PROPOSED" or "DRAFT"]

Hand delivered responses should be brought to the Examiner in the

Knox Building, 50 Dulany St. Alexandria, VA.

Kambiz Abdi
Primary Examiner
AU 3621


KAMBIZ ABDI
PRIMARY EXAMINER

November 22, 2006